

# Electronic Filing System (EFS) Data

## Electronic Patent Application Submission

### USPTO Use Only

EFS ID: 11182  
Application ID: 09681419  
Title of Invention: **System and Method for Business  
Systems Transactions and  
Infrastructure Management**  
First Named Inventor: Cody Menard  
Domestic/Foreign Application: Domestic Application  
Filing Date: null  
Effective Receipt Date: 2001-03-30   
Submission Type: Utility Patent Filing  
Filing Type: new-utility  
Confirmation Number: 0  
Attorney Docket Number: 800571  
Digital Certificate Holder: cn=Douglas D. Russell, ou=Registered Attorneys, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US  
Certificate Message Digest: eHheJg2zZKUvGX8VoDFmsQ==  
Total Fees Authorized: \$588.0

Payment Category: CC – Credit Card  
Credit Card Number: \*\*\*\*1018  
Expiration Date: 06302002  
Card Holder Name: Douglas D. Russell  
RAM User ID: EFSPROD  
RAM Accounting Date: 2001-04-02  
RAM Sequence Number: 272250  
RAM Payment Status: RAM success  
Postal Code: 78759

Jc474 U.S. Pro  
09/681419  
03/30/01

# TRANSMITTAL FORM

Electronic Version 1.0.2

Stylesheet Version: 1.0

Attorney Docket Number: 800571

## System and Method for Business Systems Transactions and Infrastructure Management

First Named Inventor: Cody Menard

### SUBMITTED BY

Name: Mr. Douglas D. Russell  
Registration Number: 40152  
Electronic Signature Mark: Douglas  
D. Russell Date Signed: 20010330

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

### Attached Files:

specification	SPECIFICATION.xml
declaration	Declaration Page 1 of 2.tif
declaration	Declaration Page 1 of 2.tif
declaration	Declaration Page 1 of 2.tif
fee-transmittal	Submissionsfee.xml

bibd-transmittal

**Submissionsapds.xml**

patent-assignment

**Submissionsasgn.xml**

**Attached Image File(s):**

Declaration Page 1 of 2.tif

Declaration Page 2 of 2.tif

Declaration Supp.tif

**Comments:**

Please type a plus sign (+) inside this box →

PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION**  
**(37 CFR 1.63)**

Declaration Submitted with Initial Filing      OR       Declaration Submitted after Initial Filing (surcharge required) (37 CFR 1.16 (e))

Attorney Docket Number	800571
First Named Inventor	Menard, Cody
<b>COMPLETE IF KNOWN</b>	
Application Number	
Filing Date	
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

System and Method for Business Systems Transactions and Infrastructure Management

the specification of which

(Title of the Invention)

is attached hereto

OR

was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number  and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, and foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patent, Washington, DC 20231.

Please type a plus sign (+) inside this box →

PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION – Utility or Design Patent Application

Direct all Correspondence to:  Customer Number  
or Bar Code Label 23372 OR  Correspondence address below

Name Douglas D. Russell

Address 4807 Spicewood Springs Road

Address Building One, Suite 1200

City Austin	State Texas	Zip 78759
-------------	-------------	-----------

Country US	Telephone 512-338-4601	Fax 512-338-4651
------------	------------------------	------------------

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:	<input type="checkbox"/> A petition has been filed for this unsigned inventor
---------------------------------	---

Given Name (first and middle [if any]) Cody	Family Name or Surname Menard
--	----------------------------------

Inventor's Signature 	Date 3-22-01
--	--------------

Residence: City Houston	State TX	Country US	United States of America Citizenship
-------------------------	----------	------------	--------------------------------------

Mailing Address 802 Ivory Ridge Lane

Mailing Address

City Houston	State TX	Zip 77094	Country US
--------------	----------	-----------	------------

NAME OF SECOND INVENTOR:	<input type="checkbox"/> A petition has been filed for this unsigned inventor
--------------------------	---

Given Name (first and middle [if any]) Raghavendra K.	Family Name or Surname Murthy
--	----------------------------------

Inventor's Signature 	Date 3-22-01
--	--------------

Residence: City Austin	State TX	Country US	United States of America Citizenship
------------------------	----------	------------	--------------------------------------

Mailing Address 2812 Lantana Ridge Drive

Mailing Address

City Austin	State TX	Zip 78732	Country US
-------------	----------	-----------	------------

Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

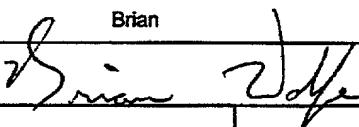
Please type a plus sign (+) inside this box →

PTO/SB/02A (11-00)

Approved for use through 10/31/2002. OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>1</u> of <u>1</u>	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])  Brian		Family Name or Surname  Wolfe	
Inventor's Signature  			Date 3-22-01
Residence: City Austin	State TX	Country US	United States of America Citizenship
Mailing Address 13545 Ana Rosa Loop			
Mailing Address			
City Austin	State TX	ZIP 78727	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])  		Family Name or Surname  	
Inventor's Signature			Date
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])  		Family Name or Surname  	
Inventor's Signature			Date
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

# FEE TRANSMITTAL

Electronic Version 1.0.4

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Small Entity

Small Business Concern

**TOTAL FEES AUTHORIZED: \$ 588**

## BANK (CREDIT) CARD INFORMATION:

Credit Card Number:	1018
Expiration Date:	20020630
Authorized Name:	Douglas D. Russell
Billing Address:	78759

## BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	201	\$ 355

Subtotal For Basic Filing Fee: \$ 35

## EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 37	203	\$ 9	17	\$ 153
Independent Claims: 4	202	\$ 40	1	\$ 40

Subtotal For Extra Claims Fees: \$ 19

## ADDITIONAL FEES

Fee Description	Fee Code	Fee Paid
Recording Each Patent Assignment Per Property Fee	581	\$ 40

**Subtotal For Additional Fees: \$ 40**

# FEE TRANSMITTAL

Electronic Version 1.0.4

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Small Entity

Small Business Concern

**TOTAL FEES AUTHORIZED: \$ 588**

## BANK (CREDIT) CARD INFORMATION:

Credit Card Number:	1018
Expiration Date:	20020630
Authorized Name:	Douglas D. Russell
Billing Address:	78759

## BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	201	\$ 355

Subtotal For Basic Filing Fee: \$ 355

## EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 37	203	\$ 9	17	\$ 153
Independent Claims: 4	202	\$ 40	1	\$ 40

Subtotal For Extra Claims Fees: \$ 193

## ADDITIONAL FEES

Fee Description	Fee Code	Fee Paid
Recording Each Patent Assignment Per Property Fee	581	\$ 40

**Subtotal For Additional Fees: \$ 40**